



# WAISC 2014

## NIAGARA FALLS

Monday, September 15 to  
Wednesday, September 17  
FALLSVIEW CASINO RESORT

13TH ANNUAL WORLD ALTERNATIVE INVESTMENT SUMMIT CANADA

### Monday, September 15<sup>th</sup>

#### Pre-Conference Activities

10:00 – 3:45	<b>GOLF TOURNAMENT – LEGENDS ON NIAGARA - BEST BALL FORMAT</b> <i>(advance registration required)</i> SPONSORED BY: <b>RADIUS FINANCIAL EDUCATION</b>
10:30 – 3:45	<b>THE ULTIMATE FALLS EXPERIENCE. 4 ATTRACTIONS – 1 PASS</b> * <b>HORNBLOWER NIAGARA CRUISES</b> * <b>JOURNEY BEHIND THE FALLS</b> * <b>WHITE WATER WALK</b> * <b>NIAGARA’S FURY</b> <i>(advance registration required)</i> SPONSORED BY: <b>RADIUS FINANCIAL EDUCATION</b>
5:30 – 7:30	<b>CONFERENCE REGISTRATION – R5 FALLSVIEW CASINO RESORT</b>
6:30 – 8:00	<b>RADIUS’ WELCOMING LAUNCH RECEPTION IN ASSOCIATION WITH FALLSVIEW CASINO RESORT - R5 LOUNGE</b> Join us at the newest lounge at Fallsview Casino Resort. R5 radiates a chic and contemporary vibe thanks to its stunning fire-and-water décor. Designed to highlight its breathtaking views of the Falls, R5 will provide you the opportunity to mingle with your peers and interact with key industry players.  <i>Fallsview Casino Resort will be giving away a one night stay with dinner for two* – join us for your chance to win!</i>  <i>*Conditions apply – Full details will be provided to winner</i>

### Tuesday, September 16<sup>th</sup>

8:00	<b>CONFERENCE REGISTRATION AND BREAKFAST IN EXHIBIT HALL FOYER</b> SPONSORED BY: <b>SLOANE CAPITAL CORP.</b>
8:50 - 9:00	<b>OPENING REMARKS - HALL C/D (EXHIBIT HALL)</b> <i>Pat Bolland, Media Consultant</i>
9:00 – 9:40	<b>THE CANADIAN ECONOMY – ADJUSTING TO A WORLD OF CHANGE</b> <i>Warren Jestin, Senior Vice President &amp; Chief Economist, Scotiabank</i> <ul style="list-style-type: none"> <li>• The global economy has struggled to build momentum since the 2008 financial crisis.</li> <li>• From a Canadian perspective, the revival of U.S consumer spending on big-ticket items like cars and housing has been good news for exporters</li> <li>• Residential construction is receding after a multi-year boom and consumer spending will be tempered by slower job growth and relatively high household debt</li> <li>• Borrowing costs will remain low with the Bank of Canada tending to lag the U.S. Federal Reserve when it finally starts nudging short-term rates higher next year</li> </ul>

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9:40 – 10:20	<p><b>KEYNOTE PRESENTATION: HALF PREGNANT HARMONIZATION</b> <i>Craig Skauge, President &amp; Founder, National Exempt Market Association</i></p> <p>Canada is the only country in the world with multi-jurisdictional regulatory regime. Efforts are currently being made to create an operational national regulator to oversee Canada's capital markets, including the Exempt Market. So far, four jurisdictions have signed up: ON, BC, SK, and NB. What policy makers fail to realize is that you are either harmonized or you are not, as Craig Skauge puts it: "you cannot be half-pregnant." Mr. Skauge will discuss the theory of a national regulator moving Canada to a more global standard, and its possible effects on the capital markets in Canada; complicating, not simplifying capital raising and investing.</p>
10:20 – 10:40	<p><b>NETWORKING BREAK IN EXHIBIT HALL FOYER</b> <i>SPONSORED BY: EZE SOFTWARE GROUP</i></p>
10:40 – 11:40	<p><b>CAPITAL INTRODUCTION SHOWCASE - HALL E</b> <i>SPONSORED BY: BMO CAPITAL MARKETS</i></p> <p>Your opportunity to hear 7 Hedge Fund Managers and Exempt Market Product Providers discuss their products, theories and strategies. <i>Moderated by: Katrina Rempel, Director, Prime Brokerage Services, Capital Introduction, BMO Capital Markets</i></p> <ul style="list-style-type: none"> <li>- <b>Centurion REIT</b> – <i>Peter Figura, Director, National Sales</i></li> <li>- <b>Donville Kent Asset Management</b> – <i>Jason Donville, President &amp; CEO</i></li> <li>- <b>Fulcra Asset Management</b> – <i>Matt Shandro, President &amp; Portfolio Manager</i></li> <li>- <b>Silvercreek Management Inc.</b> – <i>Louise Morwick, President</i></li> <li>- <b>Store First</b> – <i>Stephen Freedman, President, CEO, CCO, Sloane Capital</i></li> <li>- <b>SW8</b> – <i>Danielle Skipp, Chief Operating Officer</i></li> <li>- <b>Valhalla Diamond Trust</b> – <i>Jason Brown, Managing Trustee</i></li> </ul>
11:40 – 12:00	<p><b>INVESTING IN THE FUTURE: HOW MEGATRENDS ARE RESHAPING THE FUTURE OF THE INVESTMENT MANAGEMENT INDUSTRY</b> <i>Peter Hayes, National Director, Alternative Investments Practice, KPMG</i></p> <p>Taking a look at the future markets, the global asset management industry will radically transform over the next 15 years due to seismic changes. The drivers of this change, known as megatrends, will be due to shifts in demographics, technology, environment and changing social values, behaviours and ethics.</p> <p><i>"We are on the verge of the biggest shakeup the industry has experienced; and the message to asset managers is clear – adapt to change or your business won't survive. The two biggest issues that need to be addressed are the changing client base and technology, and asset managers need to get to work on these areas now."</i></p>
12:00 – 12:20	<p><b>HEDGE FUND 2014 INDUSTRY UPDATE: THE CHANGING LANDSCAPE: TRENDS, FLOWS AND OPPORTUNITY</b> <i>Jiří Król, Deputy CEO, AIMA</i></p> <ul style="list-style-type: none"> <li>• Defining the industry: size, strategies and investor composition</li> <li>• Trending: retailization and start-ups</li> <li>• Investor preferences toward alternative investments</li> </ul>

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12:20 - 1:20	<b>BUFFET LUNCH IN EXHIBIT HALL - IN EXHIBIT HALL</b> SPONSORED BY: <b>TSX PRIVATE MARKETS</b>	
1:20 - 2:00	<b>Stream 1 – Grand Hall C/D</b>  <b>ETFs - MEETING REAL WORLD NEEDS: INDUSTRY UPDATE - PANEL DISCUSSION</b> <i>Moderated by: Yves Rebetez, Managing Director, Editor, ETFinsight</i> <b>Faizan Dhanani</b> , Executive Vice-President, Head of Sales, Horizons ETFs <b>Stephen Hoffman</b> , Vice President, Exchange Traded Funds, RBC Global Asset Management Inc. <b>Rohit Mehta</b> , Senior Vice President, First Asset ETFs <ul style="list-style-type: none"> <li>• Pure Indexing and Beyond</li> <li>• Factors and risk adjusted performance</li> <li>• Creations/Redemptions, ETFs market structure and trading execution</li> </ul> They are often misunderstood, rarely discussed in detail and they may go the furthest toward reducing overall portfolio risk.	<b>Stream 2 – Grand Hall E</b>  <b>A NEW PLATFORM TO ACCESS EXEMPT MARKET CAPITAL AND LIQUIDITY</b> <i>Introduced by: Peter Jarman, National Marketing Director, Access Capital Advisors</i> <b>Peter Conroy</b> , President, Shorcan Brokers Limited, TSX Private Markets  This session will provide you with an overview of how TSX Private Markets plans to leverage the market expertise of TMX Group to provide Canada's Exempt Market with enhanced access to secondary liquidity, capital, and unique investment opportunities.
2:00 - 2:40	<b>Stream 1 – Grand Hall C/D</b>  <b>MY BEST NEW IDEA FOR INVESTING – PANEL DISCUSSION</b> <i>Moderated by: Pat Bolland, Media Consultant</i> <b>Roland Austrup</b> , CEO & CIO, Integrated Managed Futures <b>Jason Donville</b> , President & CEO, Donville Kent Asset Management <b>Peter Loach</b> , Executive Vice President, Sprott Asset Management L.P. <b>Louise Morwick</b> , President, Silvercreek Management <ul style="list-style-type: none"> <li>• Hedge Funds share their “New Best Idea” for investing</li> <li>• Hear where they think you should put your money next and why</li> <li>• Hedge funds from a wide array of strategies discuss the trades and investments they think will post the biggest gains this year.</li> </ul>	<b>Stream 2 – Grand Hall E</b>  <b>THE CANADIAN EXEMPT MARKET OUTLOOK – PANEL DISCUSSION</b> <i>Moderated by: Peter Jarman, National Marketing Director, Access Capital Advisors</i> <b>Erez Blumberger</b> , Chief Regulatory Counsel, AUM Law <b>Stephen Freedman</b> , President, CEO, Chief Compliance Officer, Sloane Capital <b>Cora Pettipas</b> , Vice President & Board of Director, National Exempt Market Association (NEMA) <ul style="list-style-type: none"> <li>• Exempt Market Products Outlook over the next 3-5 years</li> <li>• If the Alberta model for OM exemption is adopted in Ontario, how will it affect the EMD firms?</li> <li>• Should the smaller EMD firms be subjected to the same level of compliance &amp; reporting requirements as larger firms and banks?</li> <li>• Effect on other Self-Regulatory Organizations</li> </ul>

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	Stream 1 – Grand Hall C/D	Stream 2 – Grand Hall E
2:40 - 3:20	<p><b>THE NEW FUND BOOTCAMP: EVERYTHING YOU NEED TO GET STARTED</b>  <i>Moderated by: <b>Pat Bolland</b>, Media Consultant  <b>Jon Aikman</b>, Vice President, Dundee Corporation  <b>Gordon Casey</b>, Managing Director, Front Shore</i></p> <ul style="list-style-type: none"> <li>• What you need to get your fund up and running: Onshore or Offshore</li> <li>• Choosing your fund strategy and location: Jurisdictions and Key Features</li> <li>• Essential Partners &amp; Service Providers: Who, What, Where and How much</li> </ul>	<p><b>REAL ASSETS: MICS, REITS, REAL ESTATE, LAND AND HARD ASSETS – PANEL DISCUSSION</b>  <i>Moderated by: <b>Peter Jarman</b>, National Marketing Director, Access Capital Advisors  <b>Devon Cranson</b>, President &amp; Founder, Cranson Capital  <b>Peter Figura</b>, Director, National Sales, Centurion REIT  <b>Jason Brown</b>, Managing Trustee, Valhalla Diamond</i></p> <ul style="list-style-type: none"> <li>• What are they, is there a difference and how do they fit into a portfolio for true diversification</li> <li>• What are some advantages and disadvantages? Are there any tax benefits</li> <li>• How are they affected by inflation and interest rate movements and what is the risk to the investor</li> <li>• Due diligence issues to consider</li> </ul>
3:20 – 3:40	<p><b>NETWORKING BREAK IN EXHIBIT HALL</b>            SPONSORED BY: <b>WALKERS</b></p>	
3:40 – 4:40	<p><b>CAP INTRO PRODUCT SHOWCASE - HALL E</b>            SPONSORED BY: <b>BMO CAPITAL MARKETS</b>            Your opportunity to hear 6 Hedge Fund Managers and Exempt Market Product Providers discuss their products, theories and strategies.  <i>Moderated by: <b>Katrina Rempel</b>, Director, Prime Brokerage Services, Capital Introduction, BMO Capital Markets</i></p> <ul style="list-style-type: none"> <li>- <b>Beacon Consumer Holdings – Stephen Freedman</b>, President, CEO, CCO, Sloane Capital</li> <li>- <b>Brompton Funds – Michael Clare</b>, Vice President &amp; Portfolio Manager</li> <li>- <b>Goodwood Inc. – Curt Cumming</b>, President</li> <li>- <b>Integrated Managed Futures - Roland P. Austrup</b>, CEO, CIO &amp; sub advisor Arrow Exemplar Diversified Portfolio</li> <li>- <b>JCClark Investments – Romain Marguet</b>, Director, Business Development</li> <li>- <b>NewGen Asset Management Ltd – Chris Rowan</b>, Head Trader &amp; Portfolio Manager</li> </ul>	
4:40 – 5:20	<p><b>INFRASTRUCTURE: JOURNEY OF AN ASSET CLASS</b>  <i>Gregory J. Smith, President &amp; Chief Executive Officer, InstarAGF Asset Management Inc.</i>            Fifty-seven trillion dollars of infrastructure investment will be spent globally by 2030. That's \$7,000 for every man, woman and child alive today. Canadian institutions are global leaders in this sector with over \$50 billion allocated. But has the asset class delivered on its initial promise of steady, low volatility yield?</p> <p>In this session, we will explore the performance of infrastructure investments through the global economic crisis and in the current environment, including a focus on how infrastructure correlates to other asset classes. In addition, we will examine how the infrastructure asset class is developing, maturing and responding to growing global demand; where, why and how future investment opportunities are emerging; and the social and economic implications of more private investment in infrastructure.</p> <p>The infrastructure journey has begun, but the story is only partly written.</p>	

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5:30 - 7:00	<p><b>A TASTE OF THE TROPICS</b> Sponsored by: <b>KPMG</b></p> <p>Come join us and your industry friends for a networking, entertaining culinary journey through the Caribbean. A taste of the tropics is the next best thing to being there!</p>
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## Wednesday, September 17<sup>th</sup>

8:30 – 9:15	<p><b>BREAKFAST - IN EXHIBIT HALL</b> SPONSORED BY: <b>NEMA</b></p>
9:20 – 10:00	<p><b>THIS TIME IT'S DIFFERENT (.....AND NO THIS IS NOT REINHARDT AND ROGOFF TALKING!)</b> <i>Alex Jurshevski, Founder, Recovery Partners</i></p> <ul style="list-style-type: none"> <li>• Sovereign Debt Crises are not new; we have seen sovereign defaults and economic collapses of sovereign states over centuries, back into the times of the Greek and Roman empires</li> <li>• What is different now is the prevalence and severity of interconnected events</li> <li>• Demographics and the shift in economic output: The traditionally strong economies of the G8, most importantly the US and Germany are weakened by an aging population, a smaller workforce and less economic output</li> <li>• Is the pressure building for the proverbial Train Wreck?</li> </ul>
10:00 – 11:00	<p><b>KEYNOTE PRESENTATION: "LEAD OR BE LEFT BEHIND", A LOOK AT THE "INVESTOR SIDE" OF PERSONAL FINANCE</b> <i>Robert Gignac, Author: Rich is a State of Mind</i></p> <p>Robert's insights into what keeps your clients awake at night might surprise you, in fact they might scare you – but they will enlighten you and encourage you take your client relationships to a new level and in the process – make you more successful in your business.</p>
11:00- 11:10	<p><b>NETWORKING BREAK IN EXHIBIT HALL</b> SPONSORED BY: <b>BLG</b></p>
11:10- 11:50	<p><b>HOW LAWYERS, ADMINISTRATORS AND AUDITORS IMPACT INVESTORS – PANEL DISCUSSION</b> Moderated by: <i>Pat Bolland, Media Consultant</i> <i>Kevin Cohen, President &amp; CEO, AUM Law</i> <i>Mark Damelin, President, Commonwealth Fund Services</i> <i>Chris Pitts, Partner, Audit &amp; Assurance Group, PricewaterhouseCoopers LLP</i></p> <ul style="list-style-type: none"> <li>• Role of Service Providers</li> <li>• The Investor Due Diligence Process</li> <li>• Fund Structuring and Fund Administrator Decisions</li> <li>• Financial Reporting Transparency</li> <li>• Investor Reporting and Marketing Materials</li> <li>• Value-Added Services</li> </ul>

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11:50 -12:30	<p><b>CANADIAN AND GLOBAL REGULATORY HOT TOPICS – PANEL DISCUSSION</b>  <i>Moderated by: <b>Pat Bolland</b>, Media Consultant</i>  <b>Ron Kosonic</b>, Partner, Securities and Capital Markets, BLG  <b>James Loewen</b>, National Director, Asset Management Practice, KPMG  <b>Ingrid Pierce</b>, Partner, Walkers</p> <ul style="list-style-type: none"> <li>• <input type="checkbox"/> IFRS      <input type="checkbox"/> FATCA      <input type="checkbox"/> CASL</li> <li>• Director registration in the Cayman Islands</li> <li>• Marketing funds outside of Canada</li> <li>• Allocation of fund expenses</li> <li>• Enhanced client reporting</li> <li>• OBSI membership for EMDs and PMs</li> </ul>
12:30- 1:30	<p><b>LUNCH WITH KEYNOTE SPEAKER: LAWRENCE McDONALD</b>,  <i>New York Times Bestselling Author, Political Risk Expert, <a href="http://WWW.LAWRENCEGMCDONALD.COM">WWW.LAWRENCEGMCDONALD.COM</a></i></p> <p><b><u>THE SYSTEMIC RISK INDICATORS: WHAT ARE THEY TELLING US TODAY?</u></b>      Legendary insider, thought-provoking and always timely Larry McDonald presents his captivating views on the Financial Crisis and European Sovereign Debt Crisis, spiced with his own risk indicators and risk management lessons sprinkled with humor. McDonald warned in 2006 about the subprime mortgage crisis and the lasting implications on global financial markets when working as Vice President at Lehman Brothers. From 2007-2008, McDonald led his team into betting against the subprime mortgage market profiting the firm over \$2 billion before it's demise. In 2009, he wrote the international bestseller, <a href="#"><i>A Colossal Failure Of Common Sense, The Inside Story Of The Collapse Of Lehman Brothers.</i></a></p> <p></p> <p>LUNCH SPONSORED BY: <b>BMO CAPITAL MARKETS</b></p>
1:30	<p><b>CLOSING REMARKS</b>  <i>Pat Bolland, Media Consultant</i></p>

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